

Machine learning for QFT and string theory

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arXiv: [1911.07571](#), [2006.09113](#), [2007.13379](#), [2007.15706](#)

Outline: 1. Motivations

Motivations

Lattice QFT

String theory

Machine learning

Lattice QFT

Calabi–Yau 3-folds

Conclusion

Machine learning

Machine Learning (ML)

Set of techniques for pattern recognition / function approximation without explicit programming.

- ▶ learn to perform a task implicitly by optimizing a cost function
- ▶ flexible → wide range of applications
- ▶ general theory unknown (black box problem)

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Question

Where does it fit in theoretical physics?

Machine learning

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Question

Where does it fit in theoretical physics?

- ▶ particle physics
- ▶ cosmology
- ▶ many-body physics
- ▶ quantum information
- ▶ lattice theories
- ▶ string theory

[1903.10563, Carleo et al.]

Outline: 1.1. Lattice QFT

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- String theory

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Lattice QFT

- Introduction to lattice QFT

- Casimir effect

- 3d QED

Calabi–Yau 3-folds

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- ML analysis

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Lattice QFT

Ideas:

- ▶ discretization of action and path integral
- ▶ Monte Carlo (MC) algorithms

Applications:

- ▶ QCD phenomenology (confinement, quark-gluon plasma. . .)
- ▶ statistical physics
- ▶ Regge / CDT / matrix model approaches to quantum gravity
- ▶ supersymmetric gauge theories for AdS/CFT

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Limitations:

- ▶ computationally expensive
 - ▶ convergence only for some regions of the parameter space
- use machine learning

Machine learning for Monte Carlo

Support MC with ML [[1605.01735](#), Carrasquilla-Melko]:

- ▶ compute observables, predict phase
- ▶ generalize to other parameters
- ▶ identify order parameters
- ▶ generate configurations
- ▶ reduce autocorrelation times
- ▶ prevent sign problem for fermions

Selected references: [1608.07848](#), Broecker et al.; [1703.02435](#), Wetzel; [1705.05582](#), Wetzel-Scherzer; [1805.11058](#), Abe et al.; [1801.05784](#), Shanahan-Trewartha-Detmold; [1807.05971](#), Yoon-Bhattacharya-Gupta; [1810.12879](#), Zhou-Endrődi-Pang; [1811.03533](#), Urban-Pawlowski; [1904.12072](#), Albergo-Kanwar-Shanahan; [1909.06238](#), Matsumoto-Kitazawa-Kohno; . . .

QCD review: [1904.09725](#), Joó et al.

Outline: 1.2. String theory

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String phenomenology

Goal

Find “the” Standard Model from string theory

Method:

- ▶ type II / heterotic strings, M-theory, F-theory: $D = 10, 11, 12$
- ▶ vacuum choice (flux compactification):
 - ▶ typically Calabi–Yau (CY) 3- or 4-fold
 - ▶ fluxes and intersecting branes
- reduction to $D = 4$
- ▶ check consistency (tadpole, susy...)
- ▶ read the $D = 4$ QFT (gauge group, spectrum...)

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No vacuum selection mechanism \Rightarrow string landscape

Landscape mapping

String phenomenology:

- ▶ find consistent string models
- ▶ find generic/common features
- ▶ reproduce the Standard model

Landscape mapping

String phenomenology:

- ▶ find consistent string models
- ▶ find generic/common features
- ▶ reproduce the Standard model

Typical questions:

- ▶ understand geometries: properties and equations involving many integers
- ▶ find the distribution of some parameters
- ▶ find good EFTs to describe low-energy limit
- ▶ (construct an explicit string field theory)

Number of geometries

Calabi–Yau (CY) manifolds

- ▶ CICY (complete intersection in products of projective spaces):
7890 (3-fold), 921,497 (4-fold)
- ▶ Kreuzer–Skarke (reflexive polyhedra):
473,800,776 ($d = 4$)

String models and flux vacua

- ▶ type IIA/IIB models: 10^{500}
- ▶ F-Theory: 10^{755} (geometries), $10^{272,000}$ (flux vacua)

[Lerche–Lüst–Schellekens '89; hep-th/0303194, Douglas; hep-th/0307049, Ashok–Douglas; hep-th/0409207, Douglas; 1511.03209, Taylor–Wang; 1706.02299, Halverson–Long–Sun; 1710.11235, Taylor–Wang; 1810.00444, Constantin–He–Lukas]

Challenges

- ▶ numbers are huge
- ▶ difficult maths problems (NP-complete, NP-hard, undecidable)
[[hep-th/0602072](#), [Denef-Douglas](#); [1809.08279](#), [Halverson-Ruehle](#)]
- ▶ methods from algebraic topology: cumbersome, rarely closed-form formulas

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- ▶ methods from algebraic topology: cumbersome, rarely closed-form formulas

→ use [machine learning](#)

Selected references: [1404.7359](#), [Abel-Rizos](#); [1706.02714](#), [He](#); [1706.03346](#), [Krefl-Song](#); [1706.07024](#), [Ruehle](#); [1707.00655](#), [Carifio-Halverson-Krioukov-Nelson](#); [1804.07296](#), [Wang-Zang](#); [1806.03121](#), [Bull-He-Jejjala-Mishra](#); ...

Review: [Ruehle '20](#)

Plan

1. Casimir energy for arbitrary boundaries for a $3d$ scalar field
→ speed improvement and accuracy
[2006.09113, Chernodub-HE-Goy-Grishmanovky-Molochkov]
2. deconfinement phase transition in $3d$ compact QED
→ extrapolation to different lattice sizes
[1911.07571, Chernodub-HE-Goy-Molochkov]
3. Hodge numbers for CICY 3-fold
→ extends methods for algebraic topology
[2007.13379, 2007.15706, HE-Finotello]

Outline: 2. Machine learning

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Definition

Machine learning (Samuel)

The field of study that gives computers the ability to learn without being explicitly programmed.

Machine learning (Mitchell)

A computer program is said to learn from experience E with respect to some class of tasks T and performance measure P if its performance at tasks in T , as measured by P , improves with experience E .

Approaches to machine learning

Learning approaches (task: $x \rightarrow y$):

- ▶ **supervised**: learn a map from a set $(x_{\text{train}}, y_{\text{train}})$, then predict y_{data} from x_{data}
- ▶ **unsupervised**: give x_{data} and let the machine find structure (i.e. appropriate y_{data})
- ▶ **reinforcement**: give x_{data} , let the machine choose output following rules, reward good and/or punish bad results, iterate

Applications

General idea = pattern recognition:

- ▶ classification / clustering
- ▶ regression (prediction)
- ▶ transcription / translation
- ▶ structuring
- ▶ anomaly detection
- ▶ denoising
- ▶ synthesis and sampling
- ▶ density estimation
- ▶ conjecture generation

Applications in industry: computer vision, language processing, medical diagnosis, fraud detection, recommendation system, autonomous driving. . .

Examples

Multimedia applications:

- ▶ MuZero, AlphaZero (DeepMind): play chess, shogi, Go
- ▶ MuZero, AlphaStar (Deepmind), OpenAI Five, etc.: play video games (Starcraft 2, Dota 2, Atari...)
- ▶ GPT-2 (OpenAI): conditional synthetic text sampling (+ general language tasks)
- ▶ DeepL: translation
- ▶ Yolo: real-time object detection [[1804.02767](#)]
- ▶ Face2Face: real-time face reenactment (deep fake)

Science applications:

- ▶ AlphaFold (DeepMind): protein folding
- ▶ (astro)particles [[1806.11484](#), [1807.02876](#), [darkmachines.org](#)]
- ▶ astronomy [[1904.07248](#)]
- ▶ geometrical structures [[geometricdeeplearning.com](#)]

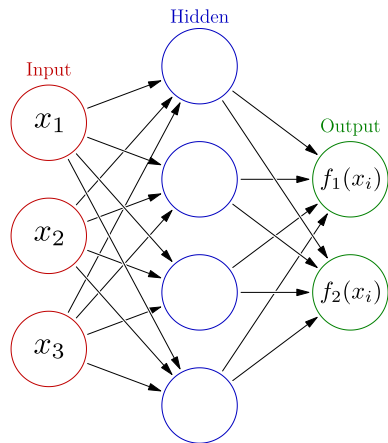
Deep neural network

$$x_{i_1}^{(1)} := x_{i_1}$$

$$x_{i_2}^{(2)} = g^{(2)}(W_{i_2 i_1}^{(1)} x_{i_1}^{(1)})$$

$$f_{i_3}(x_{i_1}) := x_{i_3}^{(3)} = g^{(3)}(W_{i_3 i_2}^{(2)} x_{i_2}^{(2)})$$

$$i_1 = 1, 2, 3; i_2 = 1, \dots, 4; i_3 = 1, 2$$

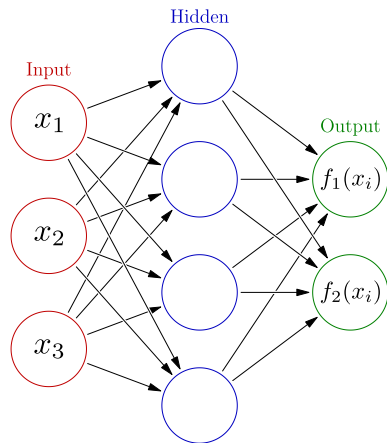


Deep neural network

Architecture:

- ▶ 1–many hidden layers, vector $x^{(n)}$
- ▶ link: weighted input, matrix $W^{(n)}$
- ▶ neuron: non-linear “activation function” $g^{(n)}$

$$x^{(n+1)} = g^{(n+1)}(W^{(n)}x^{(n)})$$



Generic method: fixed functions $g^{(n)}$, learn weights $W^{(n)}$

Learning method

- ▶ define a **loss function** L

$$L = \sum_{i=1}^{N_{\text{train}}} \text{distance}(y_i^{(\text{train})}, y_i^{(\text{pred})})$$

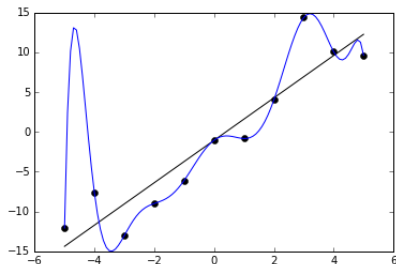
- ▶ **minimize** the loss function (iterated gradient descent. . .)

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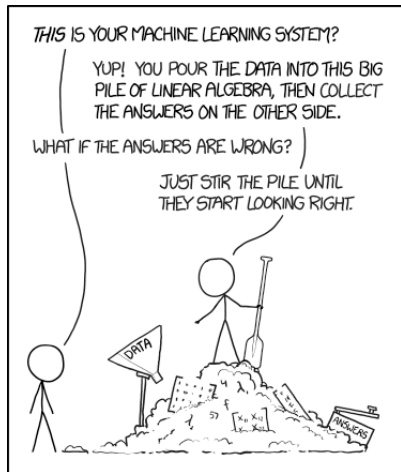
- ▶ **minimize** the loss function (iterated gradient descent...)
- ▶ main risk: **overfitting** (= cannot generalize)
 - various solutions (regularization, dropout...)
 - split data set in two (training and test)



ML workflow

“Naive” workflow:

1. get raw data
2. write neural network with many layers
3. feed raw data to neural network
4. get nice results (or give up)



<https://xkcd.com/1838>

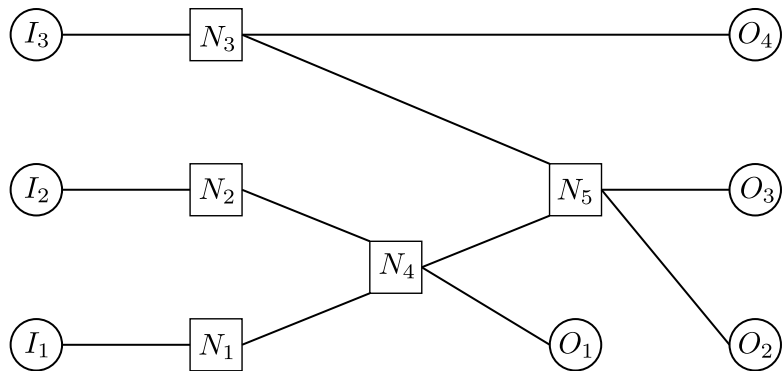
ML workflow

Real-world workflow:

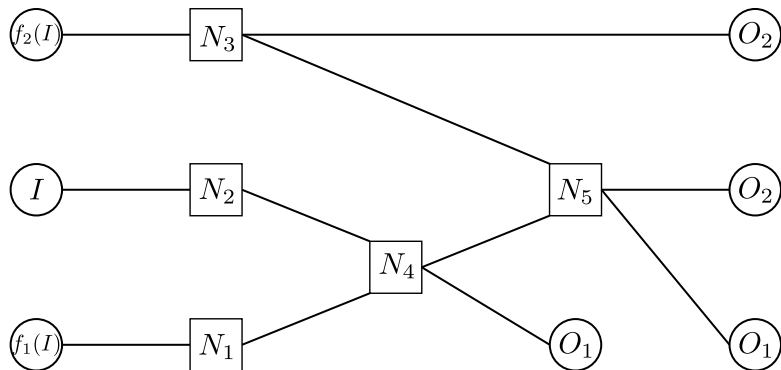
1. understand the problem
2. exploratory data analysis
 - ▶ feature engineering
 - ▶ feature selection
3. baseline model
 - ▶ full working pipeline
 - ▶ lower-bound on accuracy
4. validation strategy
5. machine learning model
6. ensembling

Pragmatic ref.: [coursera.org/learn/competitive-data-science]

Advanced neural network



Advanced neural network



Particularities:

- ▶ $f_i(I)$: engineered features
- ▶ identical outputs (stabilisation)

Some results

Universal approximation theorem

Under mild assumptions, a feed-forward network with a single hidden layer containing a finite number of neurons can approximate continuous functions on compact subsets of \mathbb{R}^n .

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Comparisons

- ▶ results comparable and sometimes superior to human experts (cancer diagnosis, traffic sign recognition. . .)
- ▶ perform generically better than any other machine learning algorithm

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Universal approximation theorem

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Drawbacks

- ▶ black box
- ▶ magic
- ▶ numerical

(= how to extract analytical / predictable / exact results?)

Outline: 3. Lattice QFT

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Discretization

- ▶ Euclidean periodic lattice Λ , spacing a

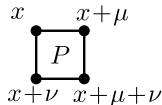
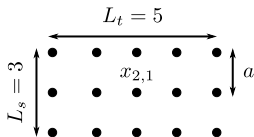
$$x^\mu/a \in \Lambda = \{0, \dots, L_t - 1\} \times \{0, \dots, L_s - 1\}^{d-1}$$

- ▶ scalar field \in **site**: $\phi(x) \rightarrow \phi_x$
- ▶ gauge field \rightarrow phase factor \in **link** $\ell = (x, \mu)$

$$U_\mu(x) = P \exp \left(i \int_x^{x+\hat{\mu}} dx'^\nu A_\nu \right) \rightarrow U_{x,\mu} = e^{iaA_\mu} + O(a^2)$$

- ▶ field strength \rightarrow phase factor \in **plaquette** $P = (x, \mu, \nu)$

$$U_{\mu\nu}(x) = U_\nu(x)^\dagger U_\mu(x + \hat{\nu})^\dagger U_\nu(x + \hat{\mu}) U_\mu(x) \\ \rightarrow U_{x,\mu\nu} = e^{ia^2 F_{\mu\nu}} + O(a^3)$$



Monte Carlo methods

- ▶ interpret path integral \rightarrow statistical system partition function

$$\int \prod_x d\phi_x \longrightarrow \sum_C \quad \text{and} \quad \langle \mathcal{O}[C] \rangle = \frac{\sum_C e^{-\beta S[C]} \mathcal{O}[C]}{\sum_C e^{-\beta S[C]}}$$

$C = \{\phi_x\}_{x \in \Lambda}$ field configuration

- ▶ **Monte Carlo**: sample subset $E = \{C_1, \dots, C_N\}$ s.t.

$$\text{Prob}(C_k) = Z^{-1} e^{-\beta S[C_k]}, \quad \langle \mathcal{O} \rangle = \frac{1}{N} \sum_{k=1}^N \mathcal{O}[C_k]$$

- ▶ **Markov chain**: built E by sequence of state stochastic transition $\text{Prob}(C_k \rightarrow C_{k+1}) = \text{Prob}(C_k, C_{k+1})$
- ▶ **Metropolis algorithm**: select trial configuration C' , accept $C_{k+1} = C'$ with probability given by action difference

$$\text{Prob}(C_k \rightarrow C') = \min \left(1, e^{-\beta(S[C'] - S[C_k])} \right)$$

$$\text{Prob}(C_k \rightarrow C_k) = 1 - \text{Prob}(C_k \rightarrow C')$$

Outline: 3.2. Casimir effect

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Scalar field theory

- ▶ action and Dirichlet boundary conditions ($\mu = 0, 1, 2$)

$$S[\phi] = \frac{1}{2} \int d^3x \partial_\mu \phi \partial^\mu \phi, \quad \phi(x)|_{x \in \mathcal{S}} = 0$$

- ▶ static boundary \mathcal{S} : quasi-parallel lines or closed curve
- ▶ Casimir energy

$$\mathcal{E}_{\mathcal{S}} = \langle T_{00} \rangle_{\mathcal{S}} - \langle T_{00} \rangle_0$$

= change in vacuum energy density due to defect

- ▶ motivations:
 - ▶ 3d scalar: simplest model exhibiting this effect
 - ▶ interesting for technological applications (micro-electromechanical and micro-fluidic systems) [Rodriguez-Capasso-Johnson, *Nature Photonics* '11]
 - ▶ modify QCD vacuum \rightarrow chiral symmetry breaking / confinement [1805.11887, Chernodub et al.]

Discretization

- ▶ partition function and action

$$Z = \int \prod_x d\phi_x e^{-S[\phi]}, \quad S[\phi] = \frac{1}{2} \sum_{x,\mu} (\phi_{x+\hat{\mu}} - \phi_x)^2$$

$\hat{\mu}$ unit vector in direction μ

- ▶ Euclidean energy

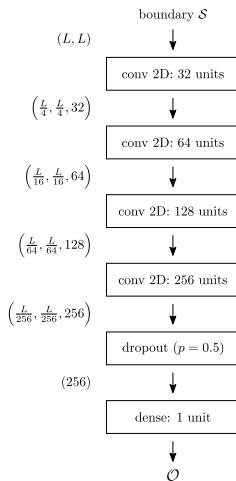
$$T_{00} = \frac{1}{4} \sum_{\mu} \eta_{\mu} [(\phi_{x+\hat{\mu}} - \phi_x)^2 + (\phi_x - \phi_{x-\hat{\mu}})^2]$$

$$(\eta_0, \eta_1, \eta_2) = (-1, 1, 1)$$

- ▶ Hybrid Monte Carlo algorithm (MC + molecular dynamics)
- ▶ boundaries: parallel lines or closed curves

ML analysis

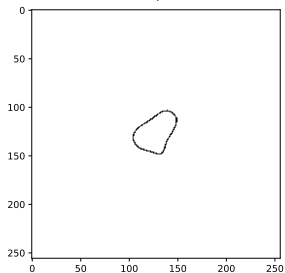
- ▶ input: $2d$ boundary condition (= BW image), $L_s = 255$
 - ▶ output: Casimir energy $\in \mathbb{R}$
 - ▶ network: 4 convolution layers, $\sim 400k$ param.
 - ▶ data: 80% train, 10% validate, 10% test
 - ▶ time comparison:
 - ▶ MC = 3.1 hours / sample
 - ▶ training = 5 min / 800 samples
 - ▶ prediction = 5 ms / sample
- 10^6 times faster



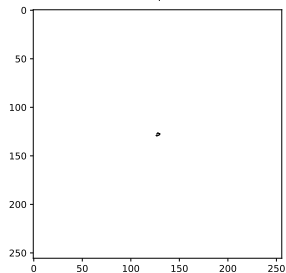
[1911.07571]

Examples

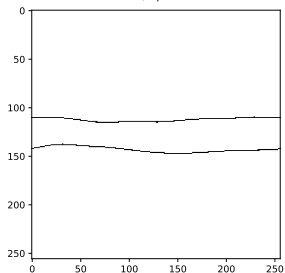
id 136: error = 0.000596
true = -13.5286, pred = -13.5205



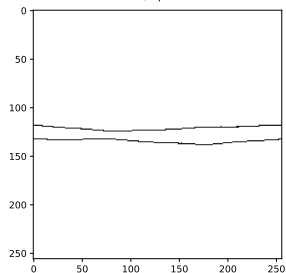
id 471: error = 1.190834
true = -1.54119, pred = -3.37649



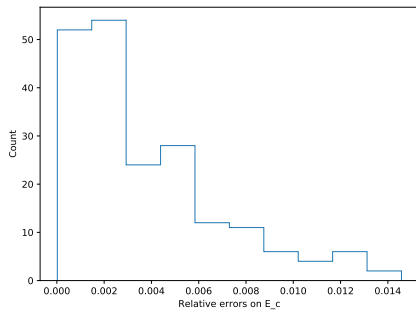
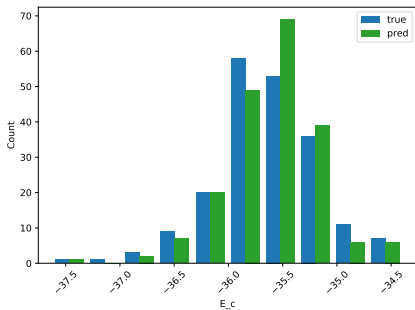
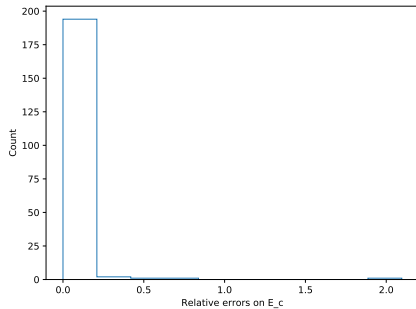
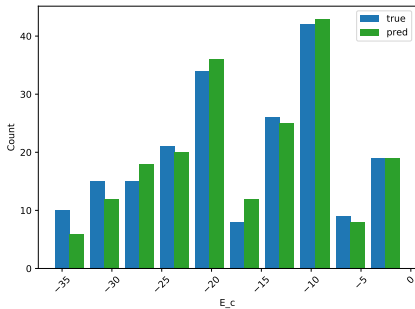
id 722: error = 0.000225
true = -36.4675, pred = -36.4593



id 98: error = 0.042850
true = -37.6339, pred = -36.0213

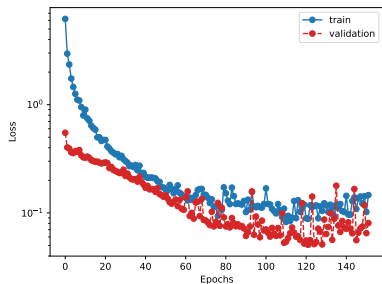


Predictions

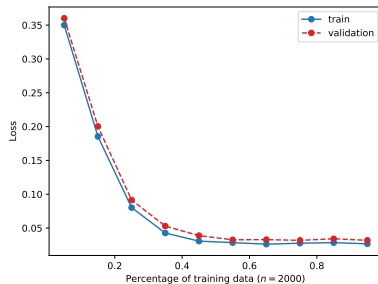


Training and learning curves

Training curve



Learning curve



Relative errors and RMSE

errors (relative)	closed curves	parallel lines
mean	0.064	0.0037
min	0.000087	0.000019
75%	0.069	0.0051
max	2.1	0.016
RMSE	0.97	0.18

$$\text{rel. error} = \left| \frac{ML - MC}{MC} \right|$$

Comparison MC and ML

Best and worst in terms of absolute error (closed curves):

	MC		ML	
	\mathcal{E}	$\text{err}_{\mathcal{E}}$	\mathcal{E}	$\text{err}_{\mathcal{E}}$
best	-22.62	0.13	-22.60	0.014
	-20.34	0.12	-20.34	0.0018
	-12.22	0.09	-12.23	0.011
	-9.57	0.16	-9.57	0.0028
	-9.57	0.13	-9.56	0.011
worst	-0.82	0.12	-2.54	1.72
	-1.63	0.10	-2.67	1.04
	-1.48	0.09	-2.30	0.82

Outline: 3.3. 3d QED

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Conclusion

Compact QED: properties

Model: 3d compact QED at finite temperature [Polyakov '76]

- ▶ well understood [[hep-lat/0106021](#), Chernodub-Ilgenfritz-Schiller]
- ▶ good toy model for QCD (linear confinement, mass gap generation, temperature phase transition)
- ▶ topological defects (monopoles): drive phase transition

Confinement-deconfinement phase transition:

- ▶ low temperature: confinement caused by Coulomb monopole-antimonopole gas
- ▶ high temperature: deconfinement, rare monopoles bound into neutral monopole-antimonopole pairs

Compact QED: lattice

- ▶ angle $\theta_{x,\mu} = a A_\mu(x) \in [-\pi, \pi)$ lattice gauge field
- ▶ elementary plaquette angle

$$\theta_{P_{x,\mu\nu}} = \theta_{x,\mu} + \theta_{x+\hat{\mu},\nu} - \theta_{x+\hat{\nu},\mu} - \theta_{x,\nu} = a^2 F_{x,\mu\nu} + O(a^4)$$

- ▶ lattice action: continuum coupling g , temperature T

$$S[\theta] = \beta \sum_x \sum_{\mu < \nu} (1 - \cos \theta_{P_{x,\mu\nu}}), \quad \beta = \frac{1}{ag^2} = \frac{L_t T}{g^2}$$

- ▶ Polyakov loop \rightarrow order parameter for confinement

$$L(\mathbf{x}) = e^{i \sum_{t=0}^{L_t-1} \theta_0(t,\mathbf{x})}, \quad \langle L(\mathbf{R}) \rangle = e^{-F/T}$$

infinitely heavy charged test particle, free energy F

- ▶ confining potential (σ string tension)

$$\langle L(\mathbf{0})L(\mathbf{R}) \rangle \propto e^{-L_t V(\mathbf{R})}, \quad V(\mathbf{R}) \sim_{T \sim 0} \sigma |\mathbf{R}|$$

Monte Carlo computations

- ▶ MC simulations for different temperatures β :
 1. gauge field configurations
 2. monopole configurations
 3. extract properties
- ▶ useful quantities:
 - ▶ spatially averaged Polyakov loop L
 - ▶ plaquettes U (spatial and temporal)
 - ▶ monopole density ρ
- ▶ study phase transition from $|L|$:
 - ▶ critical temperature β_c
 - ▶ phase $\phi = 0$ (confined) or $\phi = 1$ (deconfined)

[2006.09113]

ML analysis

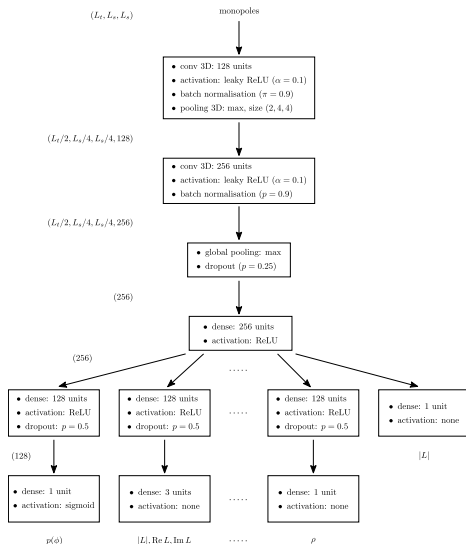
Objective:

1. train for $(L_t, L_s) = (4, 16)$
2. predict phase $\text{Prob}(\phi)$, Polyakov loop $|L|$ for $(L_t, L_s) \neq (4, 16)$
($L_t = 4, 6, 8, L_s = 16, 32$)
3. compute the critical temperature

Characteristics:

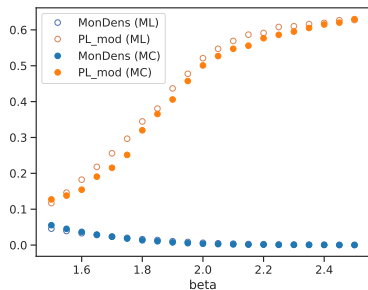
- ▶ input: 3d monopole configuration (= 3d BW image)
- ▶ main output: $|L|$, $\text{Prob}(\phi)$
- ▶ auxiliary output: L , U , ρ , β
- ▶ network: convolution + dense layers, 1.28M parameters
- ▶ data:
 - ▶ train 1: 2000 samples for each $\beta \in [1.5, 3]$, $\Delta\beta = 0.05$
 - ▶ train 2: 100 samples for each $\beta \in [0.1, 2.2]$, $\Delta\beta = 0.1$
 - ▶ validation/test: 200 samples for each $\beta \in [1.5, 2.5]$, $\Delta\beta = 0.05$

Neural network

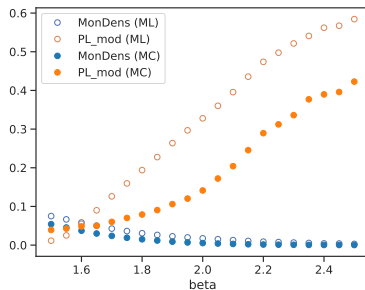


Predictions (temperature, density)

$$(L_t, L_s) = (4, 16)$$

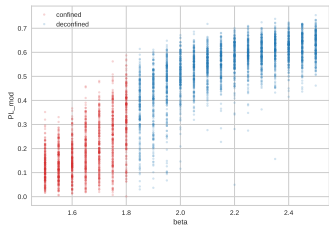


$$(L_t, L_s) = (6, 32)$$

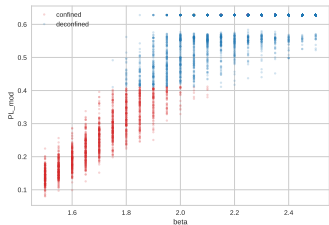


Predictions (phase)

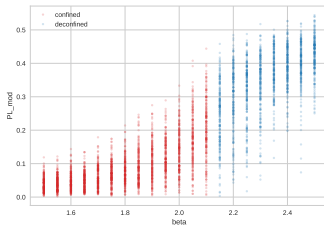
$(L_t, L_s) = (4, 16)$, MC



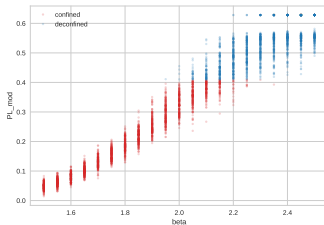
ML



$(L_t, L_s) = (6, 32)$, MC



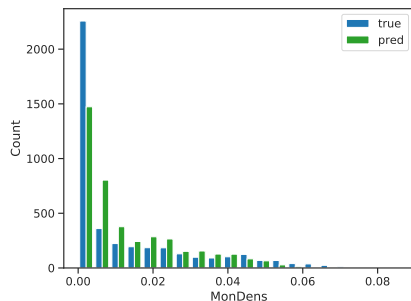
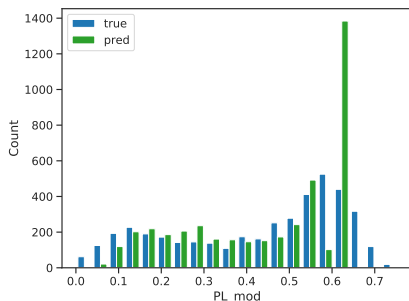
ML



Predictions (errors)

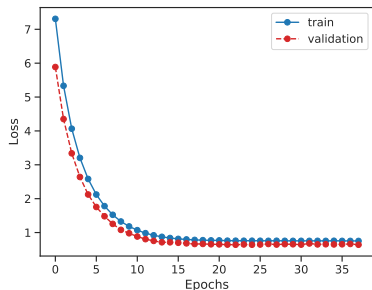
	RMSE
$ L $	0.089
ρ	0.0027
β	0.19
U	0.016

ϕ	score
accuracy	94.5%
precision	95.8%
recall	96.0%
F_1	0.96

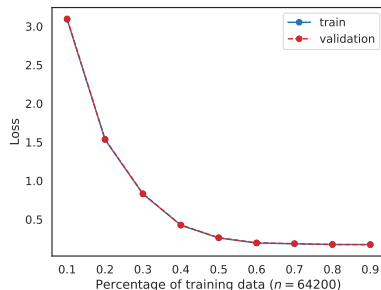


Training and learning curves

Training curve



Learning curve



Critical temperature: estimations

- ▶ maximum slope of Polyakov loop:

$$\beta_c = \operatorname{argmax}_{\beta} \partial_{\beta} \langle |L| \rangle_{\beta}$$

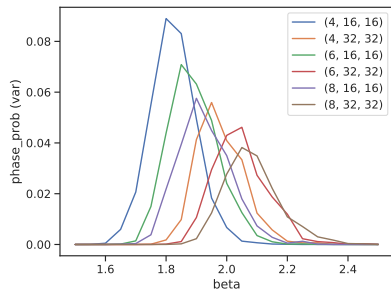
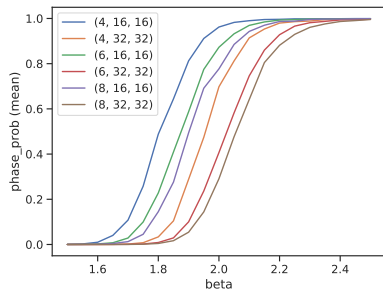
- ▶ maximum probability variance:

$$\beta_c = \operatorname{argmax}_{\beta} \operatorname{Var}_{\beta}(\rho(\phi))$$

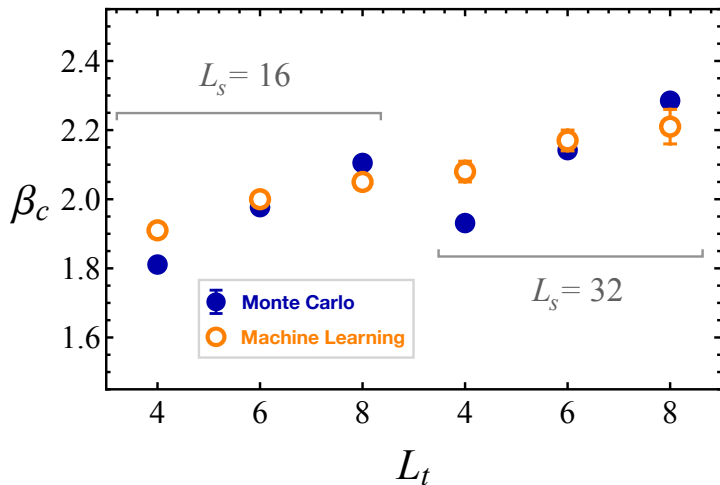
- ▶ maximum probability uncertainty:

$$\langle \rho(\phi) \rangle_{\beta} |_{\beta_c} = 0.5$$

Phase probability distribution



Critical temperature: predictions



Error correction

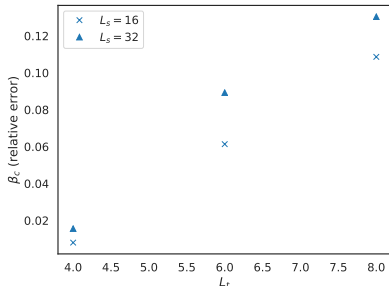
β_c prediction could be improved to $< 5\%$ error:

- ▶ modify decision function

$$\phi = \begin{cases} 0 & p(\phi) < p_c \\ 1 & p(\phi) \geq p_c \end{cases}$$

tune p_c , predict β_c from $\langle \phi \rangle$, $\text{Var } \phi$

- ▶ error linear in L_t
→ apply correction



Notes

- ▶ form of boosting/hyperparameter tuning using several lattices
- ▶ useful if considering many more lattices

Outline: 4. Calabi–Yau 3-folds

Motivations

Machine learning

Lattice QFT

Calabi–Yau 3-folds

Calabi–Yau 3-folds

ML analysis

Conclusion

Outline: 4.1. Calabi–Yau 3-folds

Motivations

- Lattice QFT

- String theory

Machine learning

Lattice QFT

- Introduction to lattice QFT

- Casimir effect

- 3d QED

Calabi–Yau 3-folds

- Calabi–Yau 3-folds

- ML analysis

Conclusion

Calabi-Yau

Complete intersection Calabi–Yau (CICY) 3-fold:

- ▶ CY: complex manifold with vanishing first Chern class
- ▶ complete intersection: non-degenerate hypersurface in products of projective spaces
- ▶ hypersurface = solution to system of homogeneous polynomial equations

Calabi-Yau

Complete intersection Calabi–Yau (CICY) 3-fold:

- ▶ CY: complex manifold with vanishing first Chern class
- ▶ complete intersection: non-degenerate hypersurface in products of projective spaces
- ▶ hypersurface = solution to system of homogeneous polynomial equations
- ▶ described by **configuration matrix** $m \times k$

$$X = \left[\begin{array}{c|ccc} \mathbb{P}^{n_1} & a_1^1 & \cdots & a_k^1 \\ \vdots & \vdots & \ddots & \vdots \\ \mathbb{P}^{n_m} & a_1^m & \cdots & a_k^m \end{array} \right]$$

$$\dim_{\mathbb{C}} X = \sum_{r=1}^m n_r - k = 3, \quad n_r + 1 = \sum_{\alpha=1}^k a_{\alpha}^r$$

- ▶ a_{α}^r power of coordinates on \mathbb{P}^{n_r} in α th equation

Configuration matrix

Examples

- ▶ quintic ($a = 0, \dots, 4$)

$$\left[\mathbb{P}_x^4 \mid 5 \right] \implies \sum_a (X^a)^5 = 0$$

- ▶ 2 projective spaces, 3 equations ($a, \alpha = 0, \dots, 3$)

$$\left[\begin{array}{c} \mathbb{P}_x^3 \\ \mathbb{P}_y^3 \end{array} \mid \begin{array}{ccc} 3 & 0 & 1 \\ 0 & 3 & 1 \end{array} \right] \implies \begin{cases} f_{abc} X^a X^b X^c = 0 \\ g_{\alpha\beta\gamma} Y^\alpha Y^\beta Y^\gamma = 0 \\ h_{a\alpha} X^a Y^\alpha = 0 \end{cases}$$

Configuration matrix

Examples

- ▶ quintic ($a = 0, \dots, 4$)

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- ▶ 2 projective spaces, 3 equations ($a, \alpha = 0, \dots, 3$)

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Classification

- ▶ invariances (\rightarrow huge redundancy)
 - ▶ permutation of lines and columns
 - ▶ identities between subspaces
- ▶ but:
 - ▶ constraints \Rightarrow bound on matrix size
 - ▶ \exists “favourable” configuration

Topology

Why topology?

- ▶ no metric known for compact CY (cannot perform KK reduction explicitly)
- ▶ topological numbers \rightarrow 4d properties (number of fields, representations, gauge symmetry. . .)

Topology

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Topological properties

- ▶ Hodge numbers $h^{p,q}$ (number of harmonic (p, q) -forms)
here: $h^{1,1}$, $h^{2,1}$
- ▶ Euler number $\chi = 2(h^{1,1} - h^{2,1})$
- ▶ Chern classes
- ▶ triple intersection numbers
- ▶ line bundle cohomologies

Topology

Why topology?

- ▶ no metric known for compact CY (cannot perform KK reduction explicitly)
- ▶ topological numbers \rightarrow 4d properties (number of fields, representations, gauge symmetry. . .)

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Datasets

CICY have been classified

- ▶ 7890 configurations (but \exists redundancies)
- ▶ number of product spaces: 22
- ▶ $h^{1,1} \in [0, 19]$, $h^{2,1} \in [0, 101]$
- ▶ 266 combinations $(h^{1,1}, h^{2,1})$
- ▶ $a_{\alpha}^r \in [0, 5]$

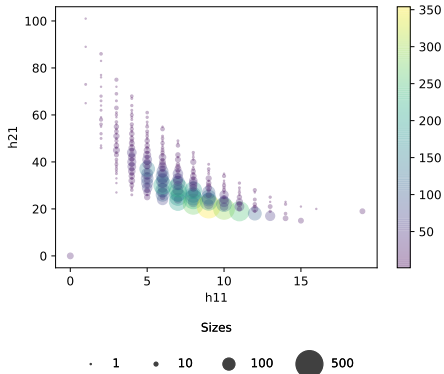
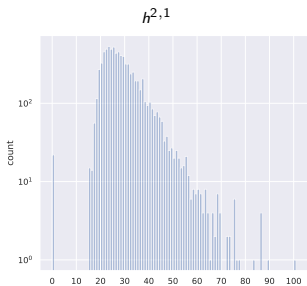
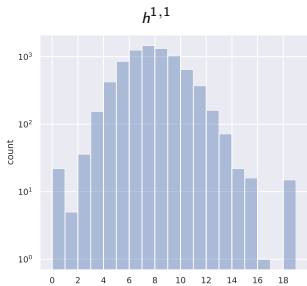
Original [[Candelas-Dale-Lutken-Schimmrigk '88](#)][[Green-Hubsch-Lutken '89](#)]

- ▶ maximal size: 12×15
- ▶ number of favourable matrices: 4874

Favourable [[1708.07907](#), [Anderson-Gao-Gray-Lee](#)]

- ▶ maximal size: 15×18
- ▶ number of favourable matrices: 7820

Data



Goal and methodology

Philosophy

Start with the original dataset, derive everything else from configuration matrix and machine learning only.

Current goal

Input: configuration matrix \longrightarrow Output: $h^{1,1}$, $h^{2,1}$

1. CICY: well studied, all topological quantities known
 \rightarrow use as a sandbox
2. improve over [1706.02714, He; 1806.03121, Bull-He-Jejjala-Mishra]
3. both original and favourable datasets

[2007.13379, 2007.15706]

Outline: 4.2. ML analysis

Motivations

Lattice QFT

String theory

Machine learning

Lattice QFT

Introduction to lattice QFT

Casimir effect

3d QED

Calabi–Yau 3-folds

Calabi–Yau 3-folds

ML analysis

Conclusion

Strategy

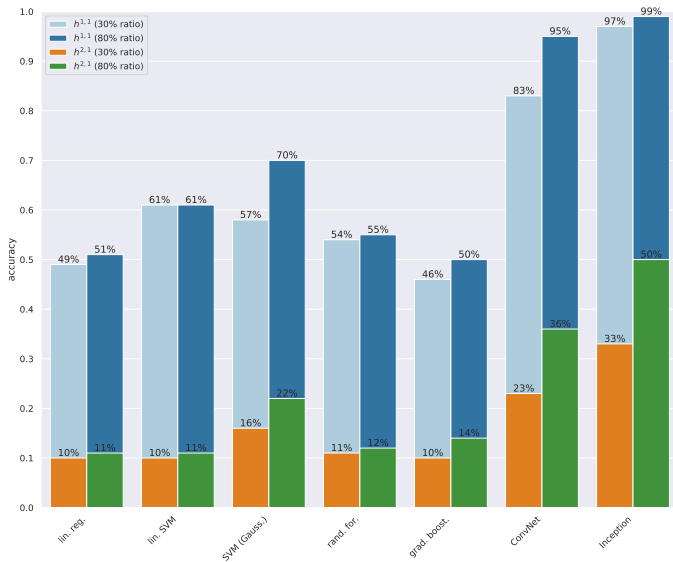
Questions:

- ▶ classification or regression?
- ▶ feature engineering?
- ▶ data diminution: remove outliers (0.49%)?
- ▶ data augmentation: generate more inputs using invariances?
- ▶ single- or multi-tasking?

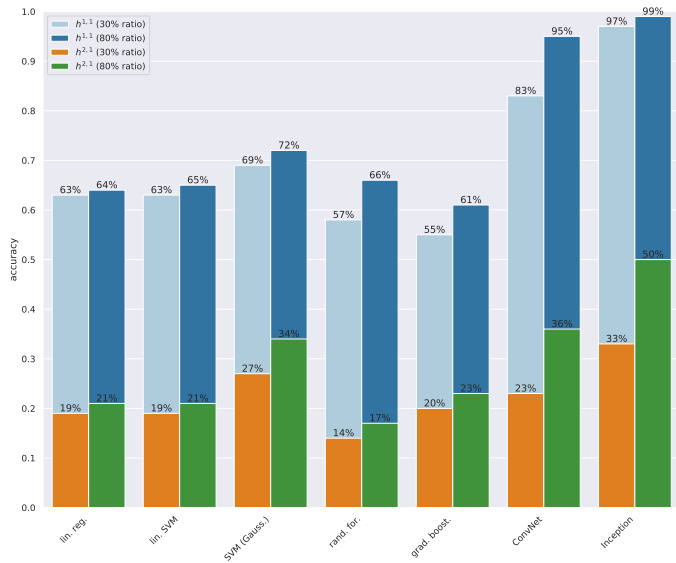
Classification vs regression:

- ▶ classification: assume knowledge of boundaries
- ▶ regression: better for generalization
different scales \rightarrow normalize data \approx use continuous variable

Algorithms



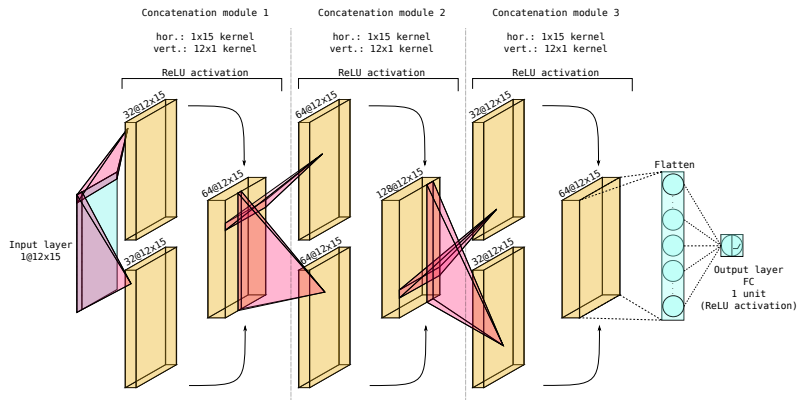
Algorithms



Inception neural network (1)

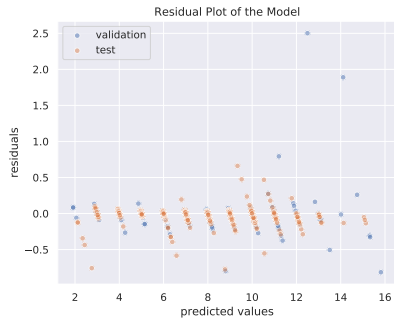
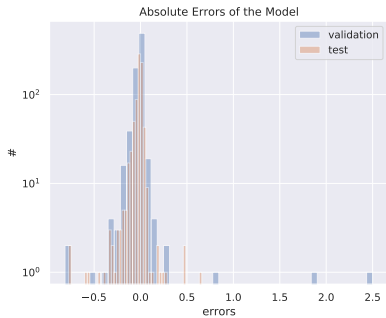
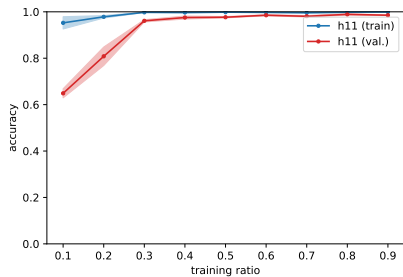
- ▶ designed by Google for computer vision
 - breakthrough in image classification
 - [Szegedy et al., 1409.4842, 1512.00567, 1602.07261]
- ▶ sequence of inception modules
 - parallel convolutions with kernels of \neq sizes
- ▶ learns different combinations of features at different scales
- ▶ motivations:
 - ▶ 1d parallel kernels of maximal sizes: look at all \mathbb{P}^n /equations for each equation/ \mathbb{P}^n at the same time
 - ▶ weight sharing (convolution): same operations for each \mathbb{P}^n and equation
- ▶ neural network performs badly on $h_{2,1}$
(but still much better than any other approach)
 - focus on $h^{1,1}$

Inception neural network (2)

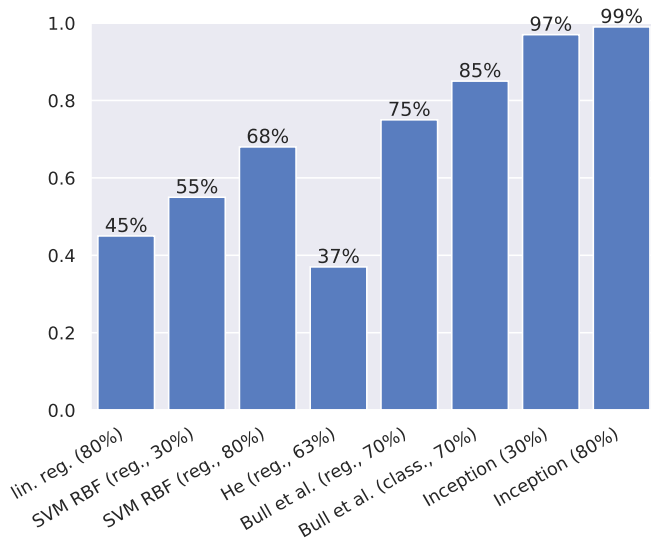


234,000 parameters: $7 \times$ less than [Bull et al.]

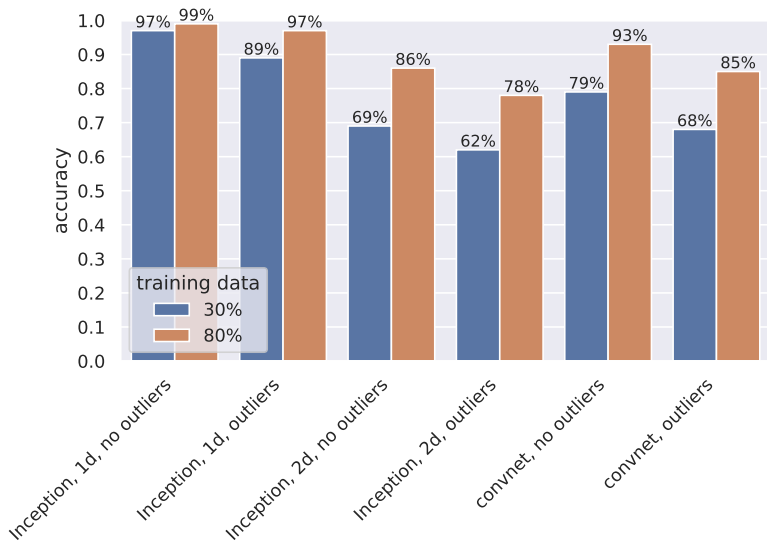
Learning curve and errors



Comparing architectures



Ablation study



Outline: 5. Conclusion

Motivations

Machine learning

Lattice QFT

Calabi–Yau 3-folds

Conclusion

Conclusion

Results:

- ▶ machine learning = promising tool for different fields of theoretical physics
- ▶ wide range of applications for lattice QFT and string theory

Outlook:

- ▶ lattice QFT: dynamics of topological objects in non-Abelian theories, generate boundaries from Casimir energy. . .
- ▶ string theory: extend to other geometries (4-folds, Kreuzer–Skarke polytopes. . .)
- ▶ dissect neural network data to understand what it learns
- ▶ symbolic computations with graph representation and reinforcement learning
- ▶ explore the space of effective field theories