

# Topics in string amplitudes

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- Juan Maldacena
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arXiv: [1810.07197](#), [1906.06051](#)

# Outline: 1. Introduction

Introduction

Two-point amplitude

Crossing symmetry: QFT

Crossing symmetry: string theory

Conclusion

## Properties of string theory

String theory = theory of extended objects

- ▶ consistency? (unitarity, crossing symmetry...)
- ▶ differences with local point-particle QFT?
- ▶ non-locality?

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1. if possible, direct proof
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Natural framework: **string field theory** (off-shell, renormalization...)

# Plan

Properties of (super)string amplitudes:

1. Tree-level 2-point amplitude  
with: [Juan Maldacena, Dimitri Skliros \[1906.06051\]](#)
2. Analyticity and crossing symmetry at all loops  
with: [Corinne de Lacroix, Ashoke Sen \[1810.07197\]](#)

## 2-point amplitude

- QFT

$$A_2(k, k') = 2k^0(2\pi)^{D-1} \delta^{(D-1)}(\mathbf{k} - \mathbf{k}')$$

(1-particle state normalization, cluster decomposition)

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BRST point of view: need  $N_{\text{gh}} = 6$  but only 2 operators  $c\bar{c}V$

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QFT result is **universal** → how to resolve contradiction?

$$\langle V_k(\infty, \infty) V_{k'}(0, 0) \rangle_{S^2} \propto \delta(0) \delta^{(D-1)}(\mathbf{k} - \mathbf{k}') = \infty$$

from on-shell + momentum conservation

→ **ambiguous**, need regularization / better gauge fixing

## Analyticity and crossing symmetry

Analyticity of  $n$ -point amplitude  $A_n(k_1, \dots, k_n)$

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- ▶ often assumed or observed (scattering amplitude program...)

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Why a general proof?

- ▶ ensure observed examples not accident of simple amplitudes
- ▶ learn about fundamental properties of QFT

## Method

Proof idea in QFT [Bros-Epstein-Glaser, '64-65]:

1. prove **analyticity** of S-matrix in “**primitive domain**”  $\Delta$
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- ▶ 2) and 3) are **general statements** from theory of several complex variables

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**String theory:**

- ▶ interactions non-locality  $\rightarrow$  no position space Green functions
- ▶ prove 1) perturbatively from Feynman diagrams

## Outline: 2. Two-point amplitude

Introduction

Two-point amplitude

Crossing symmetry: QFT

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## Gauge-fixed amplitude

- ▶ 2-point amplitude

$$A_{0,2}(k, k') = \frac{8\pi\alpha'^{-1}}{\text{Vol } \mathcal{K}_0} \int d^2z d^2z' \langle V_k(z, \bar{z}) V_{k'}(z', \bar{z}') \rangle_{S^2}$$

$$\mathcal{K}_0 := \text{PSL}(2, \mathbb{C})$$

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- ▶ evaluate CFT correlation function + regularize zero-modes

$$A_2(k, k') = \lim_{\kappa^0 \rightarrow 0} (2\pi)^{D-1} \delta^{(D-1)}(\mathbf{k} + \mathbf{k}') \frac{16\pi^2 i \delta(\kappa^0)}{\alpha' \text{Vol } \mathcal{K}_2}$$

Normalization:  $\langle V_k(z, \bar{z}) V_{k'}(z', \bar{z}') \rangle_{S^2} = \frac{i (2\pi)^D \delta^{(D)}(k + k')}{|z - z'|^4}.$

numerator = zero-modes  $e^{i(k+k') \cdot x}$  for Lorentzian target spacetime

## Compute CKV volume (1)

- ▶ Volume regularization

$$\begin{aligned}\text{Vol } \mathcal{K}_2 &= \int \frac{d^2 z}{|z|^2} = 2 \int_0^{2\pi} d\theta \int_0^\infty \frac{dr}{r} \\ &= 4\pi \int_{-\infty}^\infty d\tau = 4\pi \lim_{\varepsilon \rightarrow 0} \int_{-\infty}^\infty d\tau e^{i\varepsilon\tau} \\ \text{Vol}_\varepsilon \mathcal{K}_2 &= 8\pi^2 \delta(\varepsilon)\end{aligned}$$

- ▶  $(\tau, \varepsilon)$  Euclidean worldsheet (time, energy) on the cylinder (dimensionless)
- ▶ **problem:** Lorentzian spacetime, dimensionful energy  
→ need Wick rotation and rescaling

## Compute CKV volume (2)

Jacobian from mode expansions without oscillators:

1. worldsheet Wick rotation

$$\tau = it, \quad \varepsilon = -iE$$

2. Lorentzian regularized volume

$$\text{Vol}_{M,E} \mathcal{K}_2 = 8\pi^2 i \delta(E)$$

3. Lorentzian mode expansion

$$X^0 = x^0 + \alpha' k^0 t$$

4. scale between spacetime and worldsheet times / energies

$$t = \frac{\xi^0}{\alpha' k^0} \implies E = \alpha' k^0 \kappa^0$$

$(\xi^0, \kappa^0)$  dimensionful worldsheet variables

5. regularized Lorentzian volume

$$\text{Vol}_{M,\kappa^0} \mathcal{K}_2 = \frac{8\pi^2 i \delta(\kappa^0)}{\alpha' k^0}$$

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Recover QFT result:

$$A_2(k, k') = 2k^0 (2\pi)^{D-1} \delta^{(D-1)}(\mathbf{k} + \mathbf{k}')$$

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Remarks:

- ▶ regularization ambiguous → fixed from unitarity
- ▶ Jacobian can be computed from path integral (field shift)
- ▶ better approach: gauge fix  $X^0$  [[1906.06051](#)]
- ▶ operator approach [[1909.03672](#), Seki-Takahashi]
- ▶ can always insert 6 ghosts

example: using  $\langle 0 | c_{-1} \bar{c}_{-1} c_0 \bar{c}_0 c_1 \bar{c}_1 | 0 \rangle = 1$

$$A_2(k, k') = \frac{C_{S^2}}{\text{Vol } \mathcal{K}_2} \langle c \bar{c} V_k(\infty, \infty) c_0 \bar{c}_0 c \bar{c} V_{k'}(0, 0) \rangle_{S^2}$$

# Zero-point amplitude

Next step

Generalization to 0-point function → compute on-shell action

- ▶ Zero-point amplitude for Minkowski spacetime  $\mathcal{M}$ :

$$A_0[\mathcal{M}] \sim \frac{\delta^{(D)}(0)}{\text{Vol } \text{SL}(2, \mathbb{C})} \stackrel{?}{=} \infty$$

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- ▶ curved background  $X$ :

$$e^{-(S_{\text{EH}}[X] - S_{\text{EH}}[\mathcal{M}])} = \frac{A_0[X]}{A_0[\mathcal{M}]} \stackrel{?}{=} \text{finite}$$

(à la Gibbons–Hawking–York)

- ▶ consider  $X = \text{black hole}$ ?

# Outline: 3. Crossing symmetry: QFT

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# Amplitude and Green functions

## 4-point scattering process

- ▶  $p_a = (E_a, \mathbf{p}_a) \in \mathbb{C}$ ,  $a = 1, \dots, 4$ : external momenta
- ▶ momentum conservation:  $p_1 + \dots + p_4 = 0$
- ▶ on-shell condition:  $p_a^2 = -m_a^2$

# Amplitude and Green functions

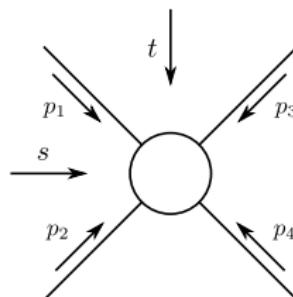
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Green functions:

off-shell

$$G(p_1, \dots, p_4) =$$



truncated

$$\tilde{G}(p_1, \dots, p_4) = G(p_1, \dots, p_4) \prod_{a=1}^4 (p_a^2 + m_a^2)$$

on-shell

$$A(p_1, \dots, p_4) = \lim_{p_a^2 \rightarrow -m_a^2} \tilde{G}(p_1, \dots, p_4)$$

QFT:  $G = \text{sum of Feynman diagrams}$

# Physical amplitudes

## Mandelstam variables

$$s = -(p_1 + p_2)^2, \quad t = -(p_1 + p_3)^2, \quad u = -(p_1 + p_4)^2$$

mass-shell:  $s + t + u = \sum_a m_a^2$

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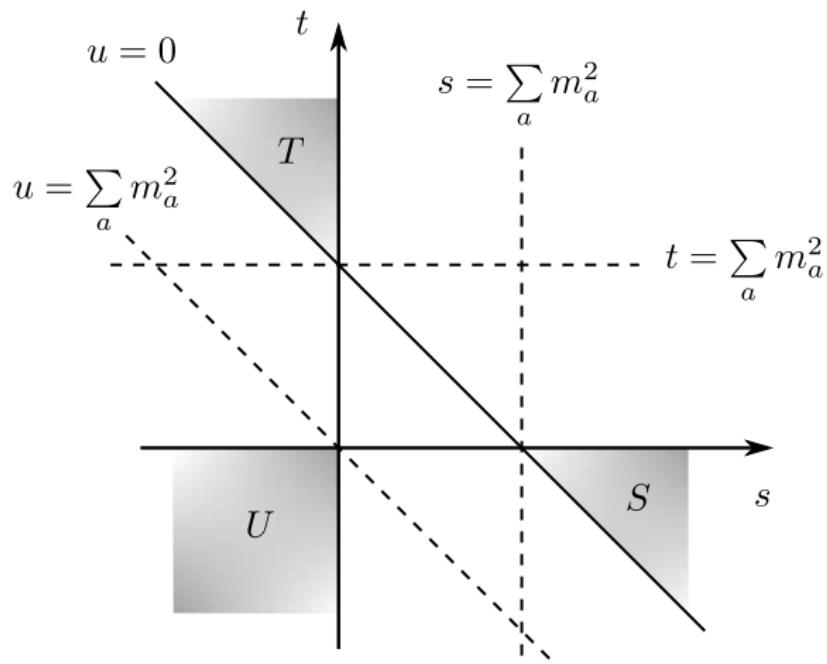
## Physical regions

- ▶  $S$  ( $s$ -channel):  $s \geq \sum_a m_a^2, \quad t, u \leq 0$
- ▶  $T$  ( $t$ -channel):  $t \geq \sum_a m_a^2, \quad s, u \leq 0$
- ▶  $U$  ( $u$ -channel):  $u \geq \sum_a m_a^2, \quad s, t \leq 0$

## Physical amplitudes

$$A_{S,T,U}(p_1, \dots, p_4) = \lim_{p_a \in S, T, U} A(p_1, \dots, p_4)$$

# Mandelstam plane



$p_a \in \mathbb{R}$  on-shell

## Statement of crossing symmetry

### Crossing symmetry

$$S : 1 + 2 \rightarrow 3 + 4$$

The processes  $T : 1 + \bar{3} \rightarrow \bar{2} + 4$  (and CPT conjugates) are

$$U : 1 + \bar{4} \rightarrow 3 + \bar{2}$$

equivalent under analytic continuation on the complex mass-shell

$$A_S(s, t) = A_T(t, s), \quad A_S(s, u) = A_U(u, s)$$

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$$A_S(s, t) = A_T(t, s), \quad A_S(s, u) = A_U(u, s)$$

- ▶ looks natural from LSZ:  $A_{S,T,U}$  all come from a single function  $A$
- ▶ but: **not guaranteed** that  $A$  is **analytic** in a domain with paths between  $S, T, U$

## QFT proof (1)

Outline of proof [Bros-Epstein-Glaser '64-65][Bros '86]:

1. assumptions:  $m_a^2 > 0$ , asymptotic states = stable particles
2. define the “primitive domains”

$$\begin{aligned}\Delta_k = \bigcap_{A_\alpha} & \left[ \left\{ \operatorname{Im} P_{(\alpha)} \neq 0, (\operatorname{Im} P_{(\alpha)})^2 \leq 0 \right\} \right. \\ & \cup \left\{ \operatorname{Im} P_{(\alpha)} = 0, -P_{(\alpha)}^2 < M_\alpha^2 \right\} \\ & \left. \cap \left\{ \operatorname{Im} p_a^i = 0, i = k, \dots, D-1 \right\} \right]\end{aligned}$$

$$A_\alpha \subset \{1, \dots, n\}, \quad P_{(\alpha)} = \sum_{a \in A_\alpha} p_a, \quad M_\alpha: \text{production threshold}$$

In words:  $p_a$  with  $k$  possible complex components s.t. all  $P_\alpha$  have:  
1) non-zero imaginary timelike part, or 2) real momentum squared below multi-particle threshold in channel  $A_\alpha$

## QFT proof (2)

3. prove analyticity inside  $\Delta_D$  of S-matrix from micro-causality (fields commute at spacelike separations) [Araki, Burgoyne, Ruelle, Steimann, '60-61]  
problem:  $\Delta_D \cap \text{mass-shell} = \emptyset$
4. compute the “envelope of holomorphy”  $\mathcal{H}(\Delta_2)$  (= analytic extension)  
 $\rightarrow \mathcal{H}(\Delta_2) \cap \text{mass-shell} \neq \emptyset$
5. show  $\exists$  a path in  $\mathcal{H}(\Delta_2) \cap \text{mass-shell}$  between all pairs of  $i\epsilon$ -neighbourhoods of physical regions

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Notes:

- ▶ only  $\mathcal{H}(\Delta_2)$  is necessary
- ▶ 4) and 5)  $\Leftarrow$  theory of several complex variables only
- ▶ work with the complete S-matrix

## Primitive domain and mass-shell

Proof that  $\Delta_D \cap \text{mass-shell} = \emptyset$  :

1. complex mass-shell:

$$\operatorname{Re} p_a \cdot \operatorname{Im} p_a = 0, \quad (\operatorname{Re} p_a)^2 - (\operatorname{Im} p_a)^2 + m_a^2 = 0$$

2. if  $\operatorname{Im} p_a$  timelike,  $(\operatorname{Im} p_a)^2 \leq 0$ , then need  $\operatorname{Re} p_a$  timelike,  
 $(\operatorname{Re} p_a)^2 < 0$ , for 2nd condition, but violates 1st condition
3. if  $\operatorname{Im} p_a = 0$ , then  $-P_{(\alpha)}^2 \geq M_\alpha^2$

## Envelope of holomorphy

More on the envelope of holomorphy:

- ▶ consider  $f(z_1, \dots, z_n)$  analytic in  $\Delta$
- ▶ analyticity in several variables  $\Rightarrow$  constrain shape of  $\Delta$
- ▶ if shape not arbitrary: analyticity in  $\Delta \Rightarrow$  analyticity in  $\mathcal{H}(\Delta)$
- ▶ given  $\Delta$ ,  $\mathcal{H}(\Delta)$  is independent of  $f$
- ▶ typically: use edge-of-the-wedge theorem (Bogoliubov)

# Outline: 4. Crossing symmetry: string theory

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Two-point amplitude

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# String field theory

- ▶ field theory (second-quantization)
- ▶ rigorous, constructive formulation [[hep-th/9206084](#), Zwiebach]
- ▶ make gauge invariance explicit ( $L_\infty$  algebras et al.)
- ▶ use standard QFT techniques (renormalization, analyticity. . .)  
→ prove consistency (Cutkosky rules, unitarity, soft theorems, background independence. . .) [[Sen '14-19](#)]
- ▶ help to compute worldsheet scattering amplitudes [[Sen '14-19](#)] and effective actions [[1912.05463](#), HE-Maccaferri-Vošmera]
- ▶ study backgrounds (= classical solutions), marginal and RR fluxes deformations [[1811.00032](#), Cho-Collier-Yin; [1902.00263](#), Sen]
- ▶ access collective, non-perturbative, thermal, dynamical effects
- ▶ worldvolume theory ill-defined for ( $p > 1$ )-branes

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To appear: “String Field Theory – A Modern Introduction”,  
Lecture Notes in Physics, Springer

## SFT in a nutshell

SFT = standard QFT s.t.:

- ▶ infinite number of fields (of all spins)
- ▶ infinite number of interactions
- ▶ non-local interactions  $\propto e^{-\#k^2}$
- ▶ reproduce worldsheet amplitudes (if well-defined)

[1703.06410, De Lacroix-HE-Kashyap-Sen-Verma]

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  - ▶ cannot use assumptions from local QFT (micro-causality...)
  - ▶ cannot derive analyticity like in QFT
- study **Green function singularities** from Feynman diagrams in momentum space

## Action and Feynman diagrams

- ▶ gauge-fixed action

$$S = \frac{1}{2} \langle \Psi | c_0^- c_0^+ L_0^+ | \Psi \rangle + \sum_{g,n \geq 0} \frac{\hbar^g g_s^{2g-2+n}}{n!} \mathcal{V}_{g,n}(\Psi^n)$$

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$$S = \frac{1}{2} \langle \Psi | c_0^- c_0^+ L_0^+ | \Psi \rangle + \sum_{g,n \geq 0} \frac{\hbar^g g_s^{2g-2+n}}{n!} \mathcal{V}_{g,n}(\Psi^n)$$

- ▶ propagator

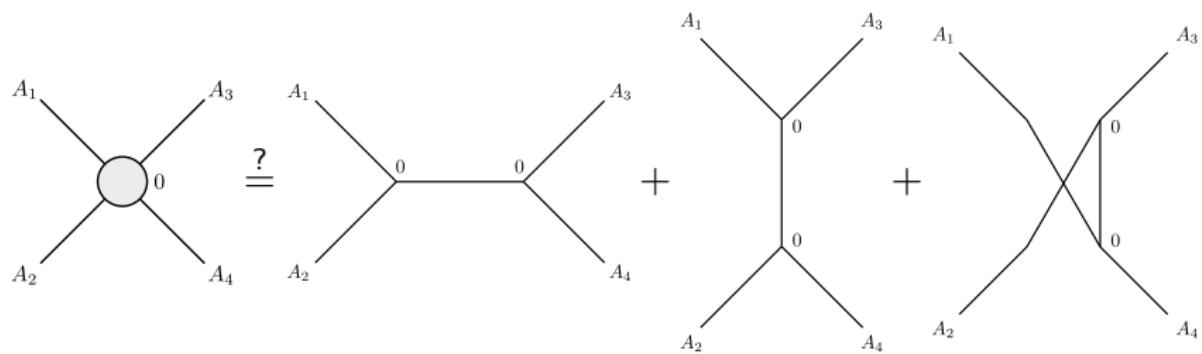
$$\langle A_1 | \frac{b_0^+}{L_0^+} b_0^- | A_2 \rangle = A_1 \text{ --- } A_2$$

- ▶ fundamental  $g$ -loop  $n$ -point vertex

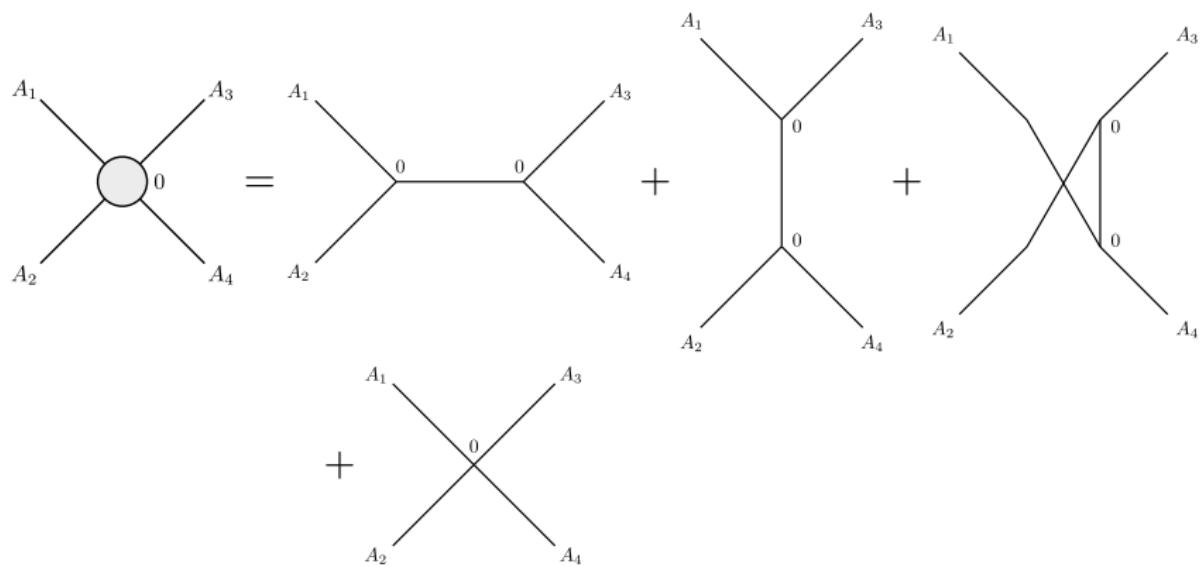
$$\mathcal{V}_{g,n}(A_1, \dots, A_n) = A_1 \text{ --- } \begin{array}{c} g \\ \vdots \\ \diagup \quad \diagdown \\ A_2 \quad A_n \end{array}$$

defined s.t. sum of all graphs  $\Rightarrow$  recover worldsheet amplitudes

## Example



## Example



## Momentum representation (1)

- ▶ string field Fourier expansion

$$|\Psi\rangle = \sum_A \int \frac{d^D k}{(2\pi)^D} \phi_A(k) |A, k\rangle$$

$k$ :  $D$ -dimensional momentum

$A$ : discrete labels (Lorentz indices, group repr., KK modes...)

- ▶ 1PI action

$$\begin{aligned} S = & \int d^D k \phi_A(k) K_{AB}(k) \phi_B(-k) \\ & + \sum_n \int d^D k_1 \cdots d^D k_n V_{A_1, \dots, A_n}^{(n)}(k_1, \dots, k_n) \phi_{A_1}(k_1) \cdots \phi_{A_n}(k_n) \end{aligned}$$

## Momentum representation (2)

### Propagator

$$K_{AB}(k)^{-1} = \frac{-i M_{AB}}{k^2 + m_A^2} Q_A(k)$$

- ▶  $M_{AB}$  mixing matrix for states of equal mass
- ▶  $Q_A$  polynomial

## Momentum representation (3)

### Vertices

$$-iV_{A_1, \dots, A_n}^{(n)}(k_1, \dots, k_n) = -i \int dt e^{-g_{ij}^{\{A_a\}}(t) k_i \cdot k_j - c \sum_{a=1}^n m_a^2} \times P_{A_1, \dots, A_n}(k_1, \dots, k_n; t)$$

- ▶  $t$  moduli parameters
- ▶  $P_{\{A_a\}}$  polynomial
- ▶  $c > 0 \rightarrow$  damping in sum over states
- ▶  $g_{ij}$  positive definite
- ▶ no singularity for  $k_i \in \mathbb{C}$  (finite)
- ▶  $\lim_{k^0 \rightarrow \pm i\infty} V^{(n)} = 0$
- ▶  $\lim_{k^0 \rightarrow \pm i\infty} V^{(n)} = \infty$

## Green function

Truncated Green function = sum of Feynman diagrams of the form

$$\mathcal{F}(p_1, \dots, p_n) \sim \int dT \prod_s d^D \ell_s e^{-G_{rs}(T) \ell_r \cdot \ell_s - 2H_{ra}(T) \ell_r \cdot p_a - F_{ab}(T) p_a \cdot p_b} \\ \times \prod_i \frac{1}{k_i^2 + m_i^2} \mathcal{P}(p_a, \ell_r; T)$$

$T$ , moduli parameters,  $\mathcal{P}$ , polynomial in  $(p_a, \ell_r)$

- ▶ momenta:
  - ▶ external  $\{p_a\}$
  - ▶ internal  $\{k_i\}$
  - ▶ loop  $\{\ell_s\}$

$k_i$  = linear combination of  $\{p_a, \ell_s\}$

- ▶  $G_{rs}$  **positive** definite
  - ▶ integrations over spatial loop momenta  $\ell_r$  **converge**
  - ▶ integrations over loop energies  $\ell_r^0$  **diverge**

# Momentum integration

Prescription = generalized Wick rotation [1604.01783, Pius-Sen]:

1. define Green function for Euclidean internal/external momenta
2. analytic continuation of external energies + integration contour s.t.
  - ▶ keep poles on the same side
  - ▶ keep ends at  $\pm i\infty$

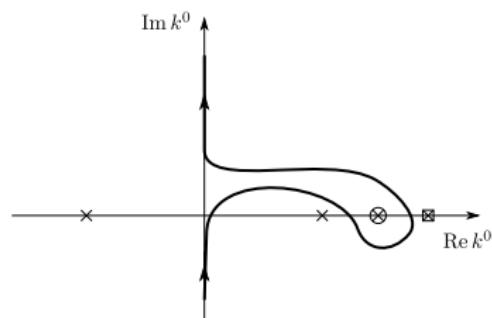
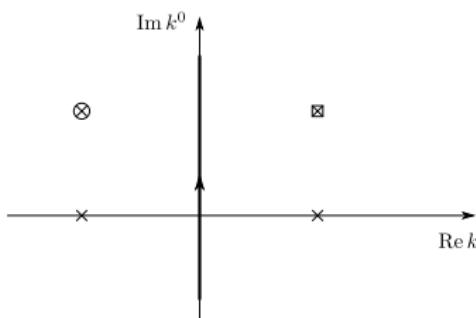
→ analyticity for  $p_a \in \mathbb{R}$ ,  $p_a^0$  in first quadrant  $\text{Im } p_a^0 > 0$ ,  $\text{Re } p_a^0 \geq 0$

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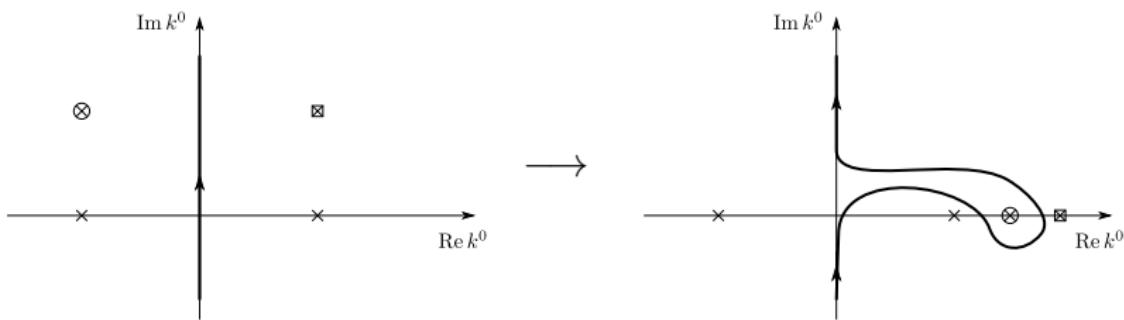


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⇒ Cutkosky rules, unitarity, spacetime and moduli space

$i\epsilon$ -prescriptions [Pius, Sen]

Timelike Liouville theory [1905.12689, Bautista-Dabholkar-HE]

# Analyticity for string theory (1)

## Result

Analyticity inside  $\Delta_2$  of  $n$ -point superstring Green functions at all loop orders:

- ▶ implies crossing symmetry for  $n = 4$
- ▶ identical analyticity properties for QFT and string theory

# Analyticity for string theory (1)

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- ▶ identical analyticity properties for QFT and string theory

## Comments:

- ▶ Feynman graphs  $\rightarrow$  perturbative computations
- ▶ valid for states with any spin
- ▶ technical assumptions: mass gap, stable external states
- ▶ regularization of massless states: removes IR non-analyticity (identical to QFT)

## Analyticity for string theory (2)

Method to study singularity:

1. start with some  $p_a = p_a^{(1)}$ ,  $\ell_r^0 \in i\mathbb{R}$ ,  $\ell_r \in \mathbb{R}$  s.t. no singularity
2. find a path  $p_a = p_a^{(1)} \rightarrow$  desired  $p_a = p_a^{(2)}$
3. deform the integral contour as the poles move
4. assume  $\exists$  singularity = on-shell internal propagator  
pinching = collision of two poles from opposite sides
5. analyze reduced diagram, display an inconsistency

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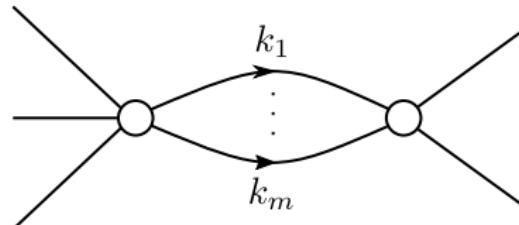
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Proceed by steps:

1. analyticity in  $\Delta_1$ : go from  $p_a = 0$  to desired  $\text{Re } p_a$  and  $\text{Im } p_a^0$   
(keep  $\text{Im } p_a = 0$ )
2. analyticity in  $\Delta_2$ : go from  $p_a \in \Delta_1$  to desired  $\text{Im } p_a^1$  (keep  
 $\text{Im } p_a^i = 0 \forall i \geq 2$ )

## First step

- ▶  $p_a^0 \in \mathbb{C}, \mathbf{p}_a \in \mathbb{R}$
- ▶ pinching implies reduced graph:



$k_i^2 = -m_i^2$ , arrow = sign of  $k_i^0$

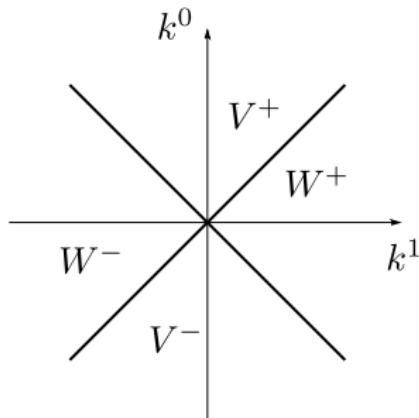
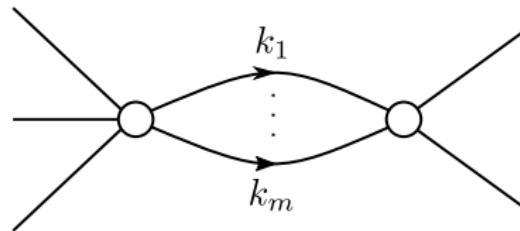
- ▶  $\mathbf{p}_a, \ell_r \in \mathbb{R} \Rightarrow \mathbf{k}_i \in \mathbb{R}$ , then  $k_i^2 = -m_i^2 \Rightarrow k_i \in \mathbb{R}$
- ▶ one can prove  $\forall i : k_i^0 > 0$
- ▶ implies

$$P_{(\alpha)} = \sum_i k_i \in \mathbb{R}, \quad p_a^2 = -m_a^2 \quad \Rightarrow \quad -P_{(\alpha)}^2 \geq M_\alpha^2$$

→ contradiction – one must have  $-P_{(\alpha)}^2 < M_\alpha^2$

## Second step

- ▶  $p_a^{\parallel} = (p_a^0, p_a^1) \in \mathbb{C}$ ,  $p_a^{\perp} \in \mathbb{R}$
- ▶ pinching implies reduced graph:



arrow = sign of  $\text{Im } k_i^1$

- ▶ one can prove  $\forall i : \text{Im } k_i^1 > 0$ , and

$$k_i^2 = -m_i^2 \Rightarrow \text{Im } k_i^{\parallel} \in W^+ \Rightarrow \text{Im } P_{(\alpha)} = \sum_i \text{Im } k_i \in W^+$$

→ contradiction – one must have  $\text{Im } P_{(\alpha)}$  timelike

# Outline: 5. Conclusion

Introduction

Two-point amplitude

Crossing symmetry: QFT

Crossing symmetry: string theory

Conclusion

# Conclusion

Results:

- ▶ tree-level 2-point amplitude computation consistent with QFT
- ▶ analyticity of superstring  $n$ -point amplitudes in  $\Delta_2$
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- ▶ show that, in some sense, string theory behaves like local QFT
- ▶ new proof of analyticity valid for more general QFTs

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- ▶ show that, in some sense, string theory behaves like local QFT
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Outlook:

- ▶ tree-level 0-point function for generic background
- ▶ CPT theorem
- ▶ analyticity in  $\Delta_D$
- ▶ analyticity in  $\mathcal{H}(\Delta_2)$  just from Feynman diagrams